

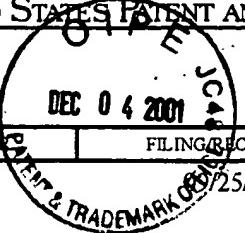


## UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 04 2001

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,395	09/25/2001	Harald Jacob	P 265258 000345 PV



00909  
 PILLSBURY WINTHROP LLP  
 1600 TYSONS BOULEVARD  
 MCLEAN, VA 22102

CONFIRMATION NO. 5500

## FORMALITIES LETTER



\*OC00000006934386\*

Date Mailed: 10/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/07/2001 BABRAHA1 00000064 09961395

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:104	280.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.  
 ■ \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.**

*A copy of this notice MUST be returned with the reply.*

*RECEIVED*  
 PILLSBURY WINTHROP LLP/DC

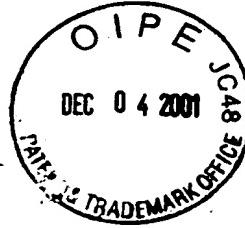
*MAR*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

OCT 22 2001

CL# 21123 MT# 215258  
 ATTY(S) MAS  
 DUE: 12/19/01  
 DKT BY (1) *HC* 02W

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)In re, PATENT APPLICATION of

Inventor(s): Jakob et al.

Appln. No.: 09

Series Code ↑

961,395  
Serial No. ↑

Atty.Dkt.

P

265258

M#

000345 PV

Client Ref

Filed: September 25, 2001

Title: Granular Sodium Carbonate Obtained by Fluid-Bed Spray Granulation and a Process for its Production

Attn: Application Division

Hon. Commissioner of Patents  
Washington, DC 20231

Date: December 4, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received  
 2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.  
 2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3.  Specification originally filed in non-English language; hence verified translation attached of:  
 a.  Abstract  
 b. #  pages of Specification(only spec. & claims)  
 c.  Drawing(s)

No of Sheets

 Fig(s). \_\_\_\_\_

4.  Letter filing formal drawing attached.

5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

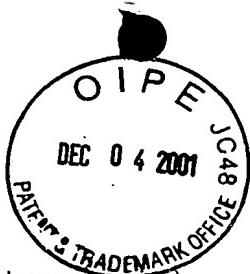
Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

8.

Application No.	Filing Date	Application No.	Filing Date
(1) DE 100 48 514.6	September 29, 2000	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)



9. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) 9/25/01  
 in U.S. Application No. 09/961,395 filed on September 25, 2001

10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11.  Attached: IDS with PTO Form 1449
12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....		Design Application Not Design Application		\$330/\$165 \$740/\$370	+740	106/26 101/201
14. Total Effective Claims	12	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0	102/202
16. If <u>any</u> proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+280	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18.		<b>FILING FEE ENCLOSED =</b>				<b>\$1150</b>
19. Original due date:	December 19, 2001					
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	+0			115/215
	(2mos)	\$400/\$200 =				116/216
	(3mos)	\$920/\$460 =				117/217
	(4mos)	\$1,440/\$720 =				118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....		\$130	+0			139
22. If "assignment" box 5 is X'd, add recording fee .....		\$40	+40			581
23. Petition Fee for		\$130	+0			
24.		<b>TOTAL FEE ENCLOSED =</b>				<b>\$1190</b>

Our Deposit Account No. 03-3975

Our Order No. 21123

C#

265258

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

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By Atty: Michael A. SanzoReg. No. 36912

Atty/Sec: MAS/AMX

Sig: Michael A. SanzoFax: (703) 905-2500  
Tel: (703) 905-2173

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**